



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Clair, et al.

ERIAL NUMBER: 09/857,000

EXAMINER:

Not Yet Assigned

FILING DATE:

September 7, 2001

ART UNIT:

1642

For:

PEPTIDES CARRYING SUBSTANCES ACROSS THE BLOOD BRAIN

BARRIER

May 7, 2002

Boston, Massachusetts

U.S. Patent and Trademark Office Box Sequence, P.O. Box 2327 Arlington, VA 22202

TRANSMITTAL LETTER

Enclosed herewith are the following for the above-identified patent application:

Copy of Notification of Defective Response (2 pages);

Preliminary Amendment and Response to Notification of Defective Response (2 pages);

Substitute Computer Readable Form Copy of the "Sequence Listing" (1 diskette);

Substitute Paper Copy of the "Sequence Listing" (10 pages);

Statement in Support of Computer Readable Form Submission Under 37 C.F.R. §

1.821(f) (1 page); and

Return postcard.

30623

PATENT TRADEMARK OFFICE

Applicants: Clair et al. U.S.S.N.: 09/857, 000

The Commissioner is authorized to charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Attorney Ref. No. 19904-012 NATL.

A duplicate copy of this Transmittal is enclosed.

Respectfully submitted,

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Attorneys for Applicants c/o MINTZ, LEVIN One Financial Center Boston, Massachusetts 02111

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Dated: May 7, 2002

TRA 1665459v1



Commissioner for Patents, Box PCT United States Patent and Trademark Office Washington, D.C. 20231

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

09/857. GOT & TRA

Philippe Clair

19904-012 NAT

INTERNATIONAL APPLICATION NO.

PCT/FR99/02938

Ivor R Elic Mintz Levin Cohn Ferris Glovsky & Popeo One Financial Center

RECEIVED

11/26/1999

I.A. FILING DATE

PRIORITY DATE 11/30/1998

APR 2 9 2002

MINTZ LEVIN, BOSTON PATENT DOCKET DEPT.

CONFIRMATION NO. 7846 371 FORMALITIES LETTER OC000000007926048*

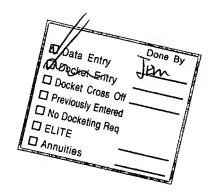
Date Mailed: 04/23/2002

Boston, MA 02111

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments



The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
 - See Attachment(Raw Sequence Listing Error Report) P.S. If Error report not received contact Catherine





Short at (703)305-3620.....

- APPLICANT MUST PROVIDE:
 - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase Patentin Software, call (703) 306-2600
 - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
 - The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

PATRICIA A BOOKER

Telephone: (703) 305-3738

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/857,000	PCT/FR99/02938	19904-012 NAT

FORM PCT/DO/EO/916 (371 Formalities Notice)